

# EXHIBIT G



Account Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>

## ACCOUNT INFORMATION FOR SOU CHENG LAI

MASTER ACCOUNTS	
1764640	Sun Hung Kai Investment Services Limited Hong Kong Special Administrative Region of China IBroker (Non-disclosed Introducing Broker)
CUSTOMER SUMMARY FOR SOU CHENG LAI	
Title:	SOU CHENG LAI
Applicant ID:	2179095
Type:	INDIVIDUAL - Non-disclosed IBroker Client Master: 1764640 - Sun Hung Kai Investment Services Limited
Legal Country:	Macao Special Administrative Region of China
Mailing Address:	ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA, (EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU, Macao Special Administrative Region of China
E-mail Address:	ustrading@shkustrader.com
Primary user name:	lscmar824
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2	
RELATIONSHIP TO CURRENT ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
ACCOUNT SUMMARY FOR 2615	

ID:	2615 (alias: lscmar824-marg) (VAN: 2615-)	Equity yesterday:	553,422 USD
Type:	Active Trader	Started:	13 Oct 2014
Activated Capabilities:	STK MRGN	Approved:	16 Oct 2014
Status:	Open. Clearing Status	Opened:	16 Oct 2014
Phylum:	C - Customer	Funded:	13 Nov 2014
Priority:	NOT SET Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	

TMS tickets TMS sticky notes Assign to ASG Account Diagnostic

## PRIOR NOTES

Hide all

1. Note	hide
Non-disclosed account automatically created	
Operator: ACES	Date: 16 Oct 2014
2. Note	hide
IB Compliance Department has reviewed the potential match. No further follow-up will be required as the potential match has been determined to be false-positive based on the full name not being an exact match.	
Operator: tgordon	Date: 15 Oct 2014

## CUSTOMER CONFIGS

Account Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>

Account Config  
Nothing Set  
Edit Account Config

Internal Account Settings  
Nothing Set  
Edit Account Settings

**ACCOUNT APPROVAL DECISION**

CLICK to see Account Reopen History

Decision: Accepted (Initial)

**Proclamations:**

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

2

Deciding User: ACES

Deciding Time: 16 Oct 2014 16:01:08 EDT

Initial Capabilities: MRGN MULT STK

Additional Remarks: Non-disclosed account automatically created/n

**ACCOUNT UPGRADE DECISIONS**

No Upgrade Decisions yet

**ADDITIONAL APPLICANT/REGISTRATION INFORMATION****APPLICANT INFO**

Applicant ID: 2179095

Country of Legal residence: Macao Special Administrative Region of China

Applicant Citizenship: Macao Special Administrative Region of China

Market Data Status: Professional

Primary Phone: 85366435744

Secondary Telephone: 85366601729

Mailing Address: ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA  
(EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU  
Macao Special Administrative Region of China

Marketing/Sales Info:

Whitebranding ID: ustrader2

Change Applicant Information

**IPO CERTIFICATION INFORMATION**

No response

**EXCESS SWEEP**

Account Information for SOU CHENG LAI

<https://fibcust101:6443/CAST/DocumentHandling/searchAccounts>

INVESTMENT EXPERIENCE			
	Years of Experience	# of Trades per Year	Knowledge Level
Stocks	N/A	N/A	0
Options	N/A	N/A	0
Commodities	N/A	N/A	0
Bond	N/A	N/A	0
FX	N/A	N/A	0
CFD	N/A	N/A	0

FINANCIAL INFORMATION			
Estimated Net Worth	N/A	Estimated Liquid Net Worth	N/A
Net Income	N/A	Sources of Funds (Other than regular income)	Sources Of Income
Total Assets	N/A	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES	
N/A	
Edit Objective	

TAX BASIS CONFIGURATION		
TAX BASIS	LAST EDIT	EDITED BY
FIFO (First In First Out)		

BASIC INFORMATION		ADDITIONAL INFORMATION	
Tax Payer ID: Form: View W-8Ben Submitted: 13 Oct 2014 03:16:15 EDT For Interest: No For Dividend: No For Broker: No Expiration Date: None			
ATTRIBUTE NAME	ATTRIBUTE VALUE (US-ASCII)	ATTRIBUTE VALUE (NATIVE)	
owner_type	Individual	Individual	
account_title	Ms. SOU CHENG LAI	Ms. SOU CHENG LAI	
citizenship	Macao Special Administrative Region of China	Macao Special Administrative Region of China	
country	Macao Special Administrative Region of China	Macao Special Administrative Region of China	
address	ESTRADA DE SETE TANQUES 40 JARDINS DE LISBOA	ESTRADA DE SETE TANQUES 40 JARDINS DE LISBOA	
part_2_9a	part_2_9a	part_2_9a	
part_2_9a_country	Macao Special Administrative Region of China	Macao Special Administrative Region of China	

Account Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>

city_state	(EDF VISTA LINDA), 12 ANDAR C TAIPA MACAU	(EDF VISTA LINDA), 12 ANDAR C TAIPA MACAU
------------	--	--

View W8 Logs

**US TAX INFO**

No Tax Info or W9 form available or applicable.

**IRS TAX VERIFICATION STATUS**

No TIN Verification done with IRS.

**NON-DISCLOSED INFORMATION**

Direct Trading: Yes

Reporting only Q1: no

**COMMISSION SCHEDULE**

EXCHANGE	CURRENCY / UNIT	TYPE	MINIMUM	MAXIMUM	MAX UNIT	MAX MARKUP AMOUNT	VALUE	PASS THROUGH FEES	MIN AS TICKET CHARGE
STK									
USD stocks	USD Stocks	Absolute Amount	15.0			0.075	0.03 <= 2000 0.025 <= 5000 0.02 OTHERWISE	no	no

Show History

**INTEREST MARKUPS**

CURRENCY	UNIT	DEBIT MARKUP	CREDIT MARKUP SHORT CREDIT MARKUP	SHORT CFD CREDIT SHORT INDEX CFD CREDIT	LONG CFD DEBIT LONG INDEX CFD DEBIT
AUD	%	-	- / -	- / -	- / -
CAD	%	-	- / -	- / -	- / -
CHF	%	-	- / -	- / -	- / -
EUR	%	-	- / -	- / -	- / -
GBP	%	-	- / -	- / -	- / -
HKD	%	-	- / -	- / -	- / -
JPY	%	-	- / -	- / -	- / -
USD	%	-	- / -	- / -	- / -

**INVESTOR CATEGORY**No Investor Category  
History**APPLICANT ASSOCIATED ENTITIES**

Show all

Account Information for SOU CHENG LAI

https://fbcust101:6443/CAST/DocumentHandling/searchAccounts

MS. SOU CHENG LAI (ACCOUNT HOLDER)		
<b>Ms. SOU CHENG LAI</b> Individual (23822440) <b>Ownership</b> Ownership percentage: 100.0 Detailed Ownership Information <b>Contact Info</b> Home Phone: 85366435744 Work Phone: 85366601729 Home (PRIMARY): (853) +85366435744 details Work (ADDITIONAL): (853) +85366601729 details	<b>Personal Info</b> Citizenship: Macao Special Administrative Region of China DOB: [REDACTED] 1969 <b>Legal ID</b> National ID: [REDACTED] (Macao Special Administrative Region of China) <b>Address</b> Residential Address: ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA (EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU Macao Special Administrative Region of China Mailing Address: Same as residential address	<b>Employer Info</b> Employment Status: RETIRED
Change Info	Request Proof of ID and DOB	Request Proof of Address
	Request Proof of SSN	Mark as PEP
		Ownership History
<b>Sessions:</b> User: lscmar824 [REDACTED] 2615 Active In TWS: Yes Token Type: N/A		

ACCOUNT ASSOCIATED ENTITIES [REDACTED] 2615
Show all
No Associated Entity
<b>COMPLIANCE/REGULATORY INFORMATION</b>
Add Broker-Dealer Affiliation

QUESTION	ANSWER	NATIVE ANSWER
Is the account holder a member of an exchange or an associated person, affiliated person or employee of an exchange member?	N/A	N/A
Is the account holder a member of a regulatory or a self-regulatory organization?	N/A	N/A
Is the account holder: i) a director; ii) a 10% shareholder; or iii) a policy-making officer of any publicly traded company? (Restricted or Controlled Stock)	N/A	N/A
Is exactly a registered financial advisor?	N/A	N/A
Accepted Futures Arbitration Agreement?	No Decision	No Decision

[Edit Compliance Information](#)    [Change Arbitration Agreement](#)

Account Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>**U.S. SEC LARGE TRADER ID INFORMATION**

Show information on U.S. SEC large Trader ID Information

**EXCHANGE MEMBERSHIP AND COMMISSION INFO**

COMMISSION TYPE	STATUS	DATE REQUESTED	DATE EFFECTIVE
Securities	Bundled		
Commodities	Bundled		

EXCHANGE CODE	CLASS
Add an Exchange class	
REGULATORY CODE	CLASS
Add a Regulatory class	

**EQUIFAX ID/ADDRESS VERIFICATION REPORTS**

No Equifax/CDC verification reports for this Account.

**OFAC, SANCTIONED AND CONTROL LIST/PROHIBITED CUSTOMER LIST SEARCHES**

Name	Matches
Search (# 94937493) for Ms. SOU CHENG LAI (Account Holder)	Complete - no match found <a href="#">hide</a>
SOU CHENG LAI	No Matches found. Processing time: 14 Mar 2015 05:09:46 EDT

**WORLDCheck TASKS**







Name	Matches
WorldCheck Search (# 94937494) for Ms. SOU CHENG LAI (Account Holder)	False positive - Not an actual match. <a href="#">hide</a>
SOU CHENG LAI	Processing time: 13 Oct 2014 03:27:54 EDT Additional Notes: IS Compliance Department has reviewed the potential match. No further follow-up will be required as the potential match has been determined to be false-positive based on the full name not being an exact match. (tgordon - 15 Oct 2014 16:01:26 EDT)

**DOCUMENT SUBMISSION TASKS**

Account Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>

Reject Multiple

DOCUMENT SUBMISSION TASK	DOCUMENT RECEIVED	STATUS	ACTIONS
W-BBen for SOU CHENG LAI	IBLLC-US-2348716.pdf via E-mail 13 Oct 2014 04:09:02 EDT	Tentatively Accepted (elai) on 14 Oct 2014 00:10:31 EDT	  Under Review
Proof of identity and date of birth for Ms. SOU CHENG LAI (Account Holder)	National ID IBLLC-US-2348716.pdf via E-mail 13 Oct 2014 04:09:02 EDT	Tentatively Accepted (elai) on 14 Oct 2014 00:10:31 EDT	  Under Review
Proof of address for Ms. SOU CHENG LAI (Account Holder)	Utility Bill IBLLC-US-2348716.pdf via E-mail 13 Oct 2014 04:09:02 EDT	Tentatively Accepted (elai) on 14 Oct 2014 00:10:31 EDT	  Under Review

## COMPLIANCE REVIEWS

No compliance reviews required for this Account.



22615

Form **W-8BEN**

(Rev. February 2014)

Department of the Treasury  
Internal Revenue Service**Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)**

► For use by individuals. Entities must use Form W-8BEN-E.  
► Information about Form W-8BEN and its separate instructions is at [www.irs.gov/formw8ben](http://www.irs.gov/formw8ben).  
► Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

**Do NOT use this form if:**

- You are NOT an individual . . . . . W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) . . . . . W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . . . 8233 or W-4
- A person acting as an intermediary . . . . . W-8IMY

Instead, use Form:

**Part I Identification of Beneficial Owner (see instructions)**

1 Name of individual who is the beneficial owner <u>LAI SOU CHENG</u>	2 Country of citizenship <u>MACAU</u>
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. <u>ESTRADA DE SETE TANQUES 40 JARDINS DE LISBOA (EDF VISTA LINDA) 12 ANDAR C TAIPA</u> City or town, state or province. Include postal code where appropriate. <u>MACAU</u>	
4 Mailing address (if different from above)  City or town, state or province. Include postal code where appropriate.  Country <u>MACAU</u>	
5 U.S. taxpayer identification number (SSN or ITIN, if required (see instructions))	6 Foreign tax identifying number (see instructions)
7 Reference number(s) (see instructions) <u>3506</u>	8 Date of birth (MM-DD-YYYY) (see instructions) <u>1969</u>

**Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)**

9 I certify that the beneficial owner is a resident of MACAU within the meaning of the income tax treaty between the United States and that country.

10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article \_\_\_\_\_ of the treaty identified on line 9 above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_

Explain the reasons the beneficial owner meets the terms of the treaty article: \_\_\_\_\_

**Part III Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
  - The person named on line 1 of this form is not a U.S. person,
  - The income to which this form relates is:
    - (a) not effectively connected with the conduct of a trade or business in the United States,
    - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
    - (c) the partner's share of a partnership's effectively connected income,
  - The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
  - For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.
- Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Sign Here



Signature of beneficial owner (or individual authorized to sign for beneficial owner)

09-30-2014  
Date (MM-DD-YYYY)

LAI SOU CHENG  
Print name of signer

Capacity in which acting (if form is not signed by beneficial owner)

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 25047Z

Form **W-8BEN** (Rev. 2-2014)

## 電費單 • FACTURA • BILL 2615



澳門電力有限公司 Companhia de Electricidade de Macau CEM S.A.

黎素晶  
LAI SOU CHENG  
七潭公路, 40  
美景臺, 12樓 C  
座仔

客戶姓名  
LAI SOU CHENG  
安裝地址  
七潭公路, 40  
美景臺, 12樓 C  
座仔

ESTRADA DE SETE TANQUES 40  
JARDINS DE LISBOA (OF VISTA LINDA)  
12 ANDAR C TAIPA

合約號碼  
5000313860  
收費類別  
A1  
按金  
澳門幣 219.00

賬單編號: 1751562571

發單時期: 由 23/08/2014 至 22/09/2014

發單日期: 22/09/2014

4869

C

P 005044

功率費  
電費  
收費調整系數  
政府稅  
本期費用

單位	單位價格	金額 (澳門幣)
11.5	3.372	38.78
790	0.963	760.77
		284.40
		2.50
		1,086.45

本月政府電費補貼  
上月費用  
繳費 (08/09/2014) - 多謝  
上月零數撥來  
零數撥入下月

新鴻基投資服務有限公司-澳門分行  
Sun Hung Kai Investment Services Limited - Macau Branch

I hereby certify that this is a true copy of the original document, which I have seen and that I have met the individual and can confirm that photograph is a true likeness.

Name of Certifier	MAK HUI NING
Job Title	IC
Date	30/09/2014
Signature	MAK

-200.00  
720.00  
-720.00  
7.06  
-3.51

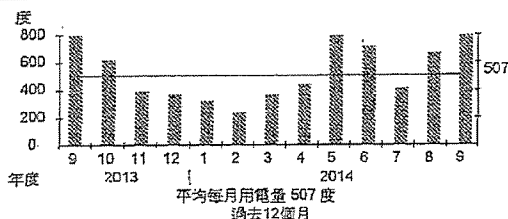
請於此日期前繳付: 07/10/2014

890.00

本月電費將於 07/10/2014 透過自動轉賬在您的中國銀行戶口內扣除。  
於到期付款日後繳費須繳付逾期附加費。  
若於 15/10/2014 仍未繳費, 供電服務將被暫停。



### 用電量紀錄圖



### 讀錶資料

共 31 日用電量  
由 23/08/2014 至 22/09/2014

下月抄錶日期 22/10/2014

電錶號碼	本月讀數	上月讀數	乘數	用電量(度)
164621	52955	52165	1.0	790

### 資訊

按計劃規定, 累積未使用政府電費補貼可於計劃結束後24個月內繼續使用, 上限為澳門幣4,800(200x24)。

歡迎16歲以下青少年及兒童參加於10月12日上午11時至下午4時30分假塔石廣場舉行的電力同樂日, 豐富獎品包括iPad Air及Beats Pro耳機!  
累積未使用政府電費補貼 302.33。

收據

蓋印有效

請於繳費時交回此部份



5000313860  
合約號碼

890.00  
應付金額

07/10/2014  
到期付款日

15/10/2014  
避免截電日期

BOC01  
自動轉賬銀行

006/1

澳門電力有限公司 Companhia de Electricidade de Macau CEM S.A.

黎, 素 晶  
7812 4790 2533  
LAI, SOU CHENG



1969  
1.48



C F

04-02-2020      04-02-2003

04-02-2010

3506  
(margin)


新鴻基投資服務有限公司 - 澳門分行 Sun Hung Kai Investment Services Limited - Macau Branch	
I hereby certify that this is a true copy of the original document which I have seen and that I have met the Individual and can confirm that photograph is a true likeness.	
Name of Certifier	MAX HON NING
Job Title	IC
Date	30/09/2014
Signature	MAX

This copy is authorised for SHKE to open A/C only  
此副本只供開立新海基戶之用

澳門特別行政區  
ADMINISTRATIVA ESPECIAL DE MACAU  
永久性居民身份證  
IDENTIDADE DE RESIDENTE PERMANENTE

如拾獲此證，請寄回澳門特別行政區  
Se você este BIR, reenvie para DSI c.p. 1089 Macau



 <3<<<<<<<1002045  
6908245F2002042<<<<<<<<<<<<<P3  
LAI<<SOU<CHENG<<<<<<<<<<<<<<



2615

Form <b>W-8BEN</b> (Rev. February 2014) Department of the Treasury Internal Revenue Service	<b>Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)</b> ▶ For use by individuals. Entities must use Form W-8BEN-E. ▶ Information about Form W-8BEN and its separate instructions is at <a href="http://www.irs.gov/formw8ben">www.irs.gov/formw8ben</a> . ▶ Give this form to the withholding agent or payer. Do not send to the IRS.	OMB No. 1545-1821
Do NOT use this form if: • You are NOT an individual • You are a U.S. citizen or other U.S. person, including a resident alien individual • You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) • You are a beneficial owner who is receiving compensation for personal services performed in the United States • A person acting as an intermediary		
Instead, use Form: W-8BEN-E W-9 W-BENI 8293 or W-4 W-8IMY		
<b>Part I Identification of Beneficial Owner (see instructions)</b>		
1 Name of individual who is the beneficial owner <u>LAI SOU CHENG</u>		2 Country of citizenship <u>MACAU</u>
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. <u>ESTRADA DE SETE TANQUES 40 JARDINS DE LISBOA (EDF VISTA LINDA) 12 ANDAR C TAIPA</u> City or town, state or province. Include postal code where appropriate.		Country <u>MACAU</u>
4 Mailing address (if different from above)  City or town, state or province. Include postal code where appropriate.		Country  
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		6 Foreign tax identifying number (see instructions)
7 Reference number(s) (see instructions) <u>3806</u>	8 Date of birth (MM-DD-YYYY) (see instructions) <u>1969</u>	
<b>Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)</b>		
9 I certify that the beneficial owner is a resident of <u>MACAU</u> within the meaning of the income tax treaty between the United States and that country.		
10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____		
Explain the reasons the beneficial owner meets the terms of the treaty article: _____		
<b>Part III Certification</b>		
Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:		
• I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution. • The person named on line 1 of this form is not a U.S. person. • The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an applicable income tax treaty, or (c) the partner's share of a partnership's effectively connected income. • The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and • For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions. Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.		
Sign Here	<u>賴卓成</u> Signature of beneficial owner (or individual authorized to sign for beneficial owner)	<u>09-30-2014</u> Date (MM-DD-YYYY)
	<u>LAI SOU CHENG</u> Print name of signer	Capacity in which acting (if form is not signed by beneficial owner)
For Paperwork Reduction Act Notice, see separate instructions.		
Cat. No. 25047Z		Form <b>W-8BEN</b> (Rev. 2-2014)

SEC-1B-E-0000595

(Lai Sou Cheng)

電 費 單 • FACTURA • BILL



LAI SOU CHENG

Customer's Name: LAI SOU CHENG

Apt. 12C, edf. Vista Linda, 40  
Estrada De Sete Tanques  
Taipa, Macau

ESTRADA DE SETE TANQUES 40  
JARDINS DE LISBOA (EDF VISTA LINDA)  
12 ANDAR C TAIPA

Installation Address: Apt. 12C, edf. Vista Linda, 40

Estrada De Sete Tanques

Taipa, Macau

Contract Number: 5000313860

Bill Type: A1

Amount: MOP\$219.00

Bill Number: 1751562571

Billing Cycle: From August 23, 2014 to September 22, 2014 Billing Date: September 22, 2014

	Unit	Unit Price	Amount (MOP\$)
Power Cost	11.5	3.372	38.78
Electricity Fee	790	0.963	760.77
Fee Adjustment Coefficient	790	0.360	284.40
Government Tax		790 0.96	2.50
Fee During This Cycle	<div>新鴻基投資服務有限公司-澳門分行 Sun Hung Kai Investment Services Limited - Macau Branch I hereby certify that this is a true copy of the original document which I have seen and that I have met the individual and can confirm that photograph is a true likeness. Name of Certifier MAK HOI NING Job Title IC Date 30/09/2014 Signature [Signature]</div>		1,086.45
Government Electricity Fee			-200.00
Subsidy This Month			720.00
Last Month's Fee			-720.00
Amount Paid (September 8, 2014) – Thank you			7.06
Last Month's Balance			-3.51
Balance Allocated to Next Month			
Please pay this amount before: October 7, 2014			890.00

This month's electricity fee will be deducted from your Bank of China account through automatic transfer on **October 7, 2014**.

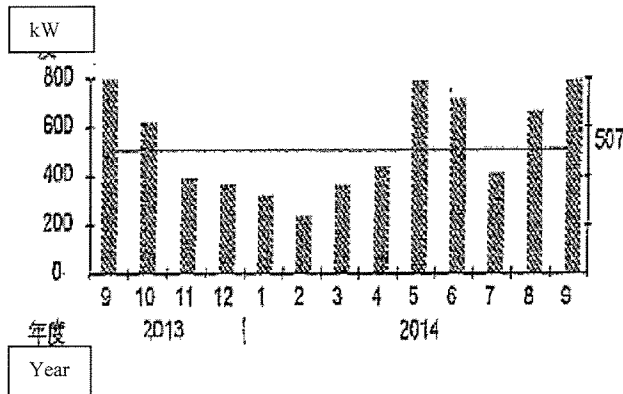


(amount payable)

If you still have not paid your electricity bill before **October 15, 2014**, then power supply will be suspended.

### Power usage record

### Chart Information



The average monthly power usage in the past 12 months was 507 k/W.

A total of 31 days for power usage  
From August 23, 2014 to September 22,  
2014

Next month's reading date: **October 22, 2014**

Electricity Meter Number	This Month's Reading	Last Month's Reading	Multiplier	Power Usage (k/W)
164621	52955	52165	1.0	790

## Information

According to the plan, any accumulated unused government electricity fee subsidy can be continuously used within 24 months after the plan ends, and the upper limit is MOP\$4,800 (200 \* 24).

Teenagers who are 16 years old or below and children are welcomed to attend the Electricity Celebration Day held at the Praca do Tap Seac from 11:00am to 4:30pm on October 12, and gifts include iPad Air and Beats Pro headphones!

Accumulated unused government electricity fee subsidy is 302.33.

Please return this portion when paying your electricity bill

Receipt  
Valid  
if  
stamped

**1.7x217**



5000313860 Contract Number	890.00 Amount Payable	October 7, 2014 Due Date	October 15, 2014 Due Date to Avoid Suspension of Power Supply	BOC01 Bank for Automatic Transfer
-------------------------------	--------------------------	-----------------------------	---	--

Complexity in the Electricity Supply Market CEM S.A.



蘇素貞



C E

04-02-2003

04-02-2010

新鴻基投資服務有限公司-澳門分行  
Sun Hung Kai Investment Services Limited - Macau Branch

I hereby certify that this is a true copy of the original document  
which I have seen and that I have made the individual and can  
confirm the photograph is a true likeness

Name of Certifier	MAY HOI NING
Job Title	IC
Date	30/09/2014
Signature	MAY HOI NING

澳門特別行政區  
REGIÃO ADMINISTRATIVA ESPECIAL DE MACAU  
永久性居民身份證  
BILHETE DE IDENTIDADE DE RESIDENTE PERMANENTE

[illegible]

[logo:] [Seal of the Government of Macau]

Registration Status

[https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user\\_name=iscmar824&ac...](https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=iscmar824&ac...)

## REGISTRATION STATUS

MASTER ACCOUNTS	
1764640	Sun Hung Kai Investment Services Limited Hong Kong Special Administrative Region of China IBroker (Non-disclosed Introducing Broker)
CUSTOMER SUMMARY FOR SOU CHENG LAI	
Title:	SOU CHENG LAI
Applicant ID:	2179095
Type:	INDIVIDUAL - Non-disclosed IBroker Client Master: 1764640 - Sun Hung Kai Investment Services Limited
Legal Country:	Macao Special Administrative Region of China
Mailing Address:	ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA, (EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU, Macao
E-mail Address:	ustrading@shkustrader.com
Primary user name:	iscmar824
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2	
RELATIONSHIP TO CURRENT ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	

## ACCOUNT SUMMARY FOR 2615

2615

ID:	2615 (alias: 2615-iscmar824-marg) (VAN: 2615-iscmar824-marg)	Equity yesterday:	553,422 USD
Type:	Active Trader	Started:	13 Oct 2014
Activated Capabilities:	STK MRGN	Approved:	16 Oct 2014
Status:	Open. Clearing Status	Opened:	16 Oct 2014
Phylum	C - Customer	Funded:	13 Nov 2014
Priority	NOT SET Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	

TMS tickets

TMS sticky notes

Assign to ASG

Account Diagnostic

## Registration Process

## Dynamic Registration Tasks

## Approval Requirements

## Customer Tasks

## Summary of Steps

Online steps Completed	Paper PDF Forms Not required	Funding Instruction Not required	407 Letter Not required
ID Documents Received	Equifax Not required	OFAC Status Completed WordCheck Status Completed	Other compliance checks Not required

## Application

Application Type: FULL	Process Type: CUST ENTRY - CUSTOMER
Current Sequence: APPLICATION	Multi Account Eligible: No
Status: APP STATUS	Multi Master Account:

Registration Status

[https://ibcust101:6443/CAST/DocumentHandling/accountInformation?usr\\_name=lscmar824&ac...](https://ibcust101:6443/CAST/DocumentHandling/accountInformation?usr_name=lscmar824&ac...)[View All Registration Steps](#)

Application Steps
APPLICATION
APP_STATUS

**ONLINE STEPS REQUIRED TO OPEN OR UPGRADE ACCOUNT**

Sort Steps By Name

Account	Registration Step	Status/ Date Completed	Type
All required online steps completed Hide all			
U1422615	Margin and Products	16 Oct 2014 23:51:05 EDT	to complete
U1422615	W-8Ben Current Status: W-0	13 Oct 2014 03:16:15 EDT	to complete

**OTHER ONLINE STEPS (NOT REQUIRED TO OPEN OR UPGRADE ACCOUNT)**

No other registration steps required for this Account.

**DOCUMENT COPY OF ONLINE FORMS**

Account	Registration Step	Status/ Date Completed	Type
All documents received Hide all			
U1422615	W-8Ben Current Status: W-0	14 Oct 2014 00:10:31 EDT	to send

**DOCUMENT SUBMISSION STEPS**

Document required	Document received	Processing notes	Account id
All required documents sent Hide all			
Proof of identity and date of birth for Ms. SOU CHENG LAI (Account Holder)	Received National ID via E-mail on 13 Oct 2014 04:09:02 EDT	Tentatively Accepted (elai) on 14 Oct 2014 00:10:31 EDT	U1422615
Proof of address for Ms. SOU CHENG LAI (Account Holder)	Received Utility Bill via E-mail on 13 Oct 2014 04:09:02 EDT	Tentatively Accepted (elai) on 14 Oct 2014 00:10:31 EDT	U1422615

**407 LETTER/EMAIL FROM EMPLOYER REQUIRED DUE TO BROKER-DEALER AFFILIATION**

No Employer Email (407 letter) required for this Account.



Registration Status

[https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user\\_name=lsemar824&ac...](https://ibcust101:6443/CAST/DocumentHandling/accountInformation?user_name=lsemar824&ac...)**EQUIFAX ID/ADDRESS VERIFICATION REPORTS**

No Equifax/CDC verification reports for this Account.

**OFAC, SANCTIONED AND CONTROL LIST/PROHIBITED CUSTOMER LIST SEARCHES**

Name	Matches	
Search (# 94937493) for Ms. SOU CHENG LAI (Account Holder)		Complete - no match found <a href="#">hide</a>
SOU CHENG LAI	No Matches found.	Processing time: 14 Mar 2015 05:09:46 EDT

**WORLDCheck TASKS**

Name	Matches	
WorldCheck Search (# 94937494) for Ms. SOU CHENG LAI (Account Holder)		False positive - Not an actual match. <a href="#">hide</a>
SOU CHENG LAI	Match found. Name Match: Kun <b>Cheng LAI</b> Name Match: Wen <b>Cheng LAI</b> Name Match: Chung <b>Cheng LAI</b> Name Match: <b>Lai King CHENG</b> Name Match: <b>Sou Tien LAI</b> <a href="#">See Match Details</a>	Processing time: 13 Oct 2014 03:27:54 EDT

**COMPLIANCE REVIEWS**

No compliance reviews required for this Account.

**HFCIP COMPLIANCE REVIEWS**

No compliance reviews required for this Account.

## ADDITIONAL ACCOUNT INFORMATION

MASTER ACCOUNTS			
1764640	Sun Hung Kai Investment Services Limited	Hong Kong Special Administrative Region of China	IBroker (Non-disclosed Introducing Broker)
CUSTOMER SUMMARY & SOURCE OF FUNDS			
Title:	SOU CHENG LAI		
Applicant ID:	2179095		
Type:	INDIVIDUAL - Non-disclosed IBroker Client. Master: 1764640 - Sun Hung Kai Investment Services Limited		
Legal Country:	Macao Special Administrative Region of China		
Mailing Address:	ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA, (EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU, Macao Special Administrative Region of China		
E-mail Address:	ustrading@shkustrader.com		
Primary user name:	iscmar824		
Employer:	Set/Unset Employer		
Good-faith Review:			

U1422615

ID:	2615 (alias: 2615-iscmar824-marg) (VAN: 2615-iscmar824-marg)	Equity yesterday:	869,738 USD
Type:	Active Trader	Started:	13 Oct 2014
Activated Capabilities:	STK MRGN	Approved:	16 Oct 2014
Status:	Open. Clearing Status	Opened:	16 Oct 2014
Phylum:	C - Customer	Funded:	13 Nov 2014
Priority:	NOT SET Change Priority	Closed:	
IB Entity:	IBLLC-US	Operator:	
Currency:	USD	Estate:	

YMS tickers

YMS sticky notes

Assign to ASG

Account Diagnostics

[Login \(3 years\)](#)
[Login \(All\)](#)
[Account Configuration](#)
[OFAC Check History](#)
[Token Share](#)
[PTAM Info](#)
[Consolidation Info](#)

## Account Login Attempts

## Full list of AM login attempts

USER NAME	LOGIN DATE	RESULT	REMOTE HOST
-----------	------------	--------	-------------

Summary Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>

## SUMMARY INFORMATION FOR SOU CHENG LAI

MASTER ACCOUNTS	
I764640	Sun Hung Kai Investment Services Limited Hong Kong Special Administrative Region of China IBroker (Non-disclosed Introducing Broker)
CUSTOMER SUMMARY FOR SOU CHENG LAI	
Title:	SOU CHENG LAI
Applicant ID:	2179095
Type:	INDIVIDUAL - Non-disclosed IBroker Client Master: I764640 - Sun Hung Kai Investment Services Limited
Legal Country:	Macao Special Administrative Region of China
Mailing Address:	ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA, (EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU, Macao Special Administrative Region of China
E-mail Address:	ustrading@shkustrader.com
Primary user name:	iscmar824
Employer:	Set/Unset Employer
Good-faith Review:	
CAFFI - Risk Ranking 2	
RELATIONSHIP TO CURRENT ML RISK COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
RELATIONSHIP TO Non FATF COUNTRY - Applicant (Legal Residence/Mailing Address) Account Holder	
ACCOUNT SUMMARY FOR 2615	
2615	
ID:	2615 (alias: iscmr824-marg) (VAN: 2615-)
Type:	Active Trader
Activated Capabilities:	STK MRGN
Status:	Open. Clearing Status
Phylum	C - Customer
Priority	NOT SET Change Priority
IB Entity:	IBLIC-US
Currency:	USD
Equity yesterday:	1,131,113 USD
Started:	13 Oct 2014
Approved:	16 Oct 2014
Opened:	16 Oct 2014
Funded:	13 Nov 2014
Closed:	
Operator:	
Estate:	
TMS tickets	TMS sticky notes
Assign to ASG	Account Diagnostic

## ACCOUNT APPROVAL DECISION

DATE OPENED	DATE CLOSED
2014-10-16 16:34:35	

Decision: Accepted (Initial)

Proclamations:

4/9/2015 11:06 AM



Summary Information for SOU CHENG LAI

<https://ibcust101:6443/CAST/DocumentHandling/searchAccounts>

Decision: Accepted (Initial)

## Proclamations:

I have reviewed the foregoing account application. Based upon the facts and circumstances presented including, but not limited to: the nature of the applicant's business and the markets it serves; the type of account for which the applicant has applied (i.e., cleared or execution-only, proprietary or customer, fully-disclosed or omnibus); the type, purpose and anticipated activity of the account; the nature and duration of IB's relationship with the applicant; publicly available information regarding the anti-money laundering and supervisory regime of the jurisdiction in which the applicant is located, formed and regulated and the jurisdiction where persons with a large ownership interest in the account are located; and the known disciplinary history of the applicant, this applicant is given a Risk Rating of:

2

Deciding User: ACES

Deciding Time: 16 Oct 2014 16:01:08 EDT

Initial Capabilities: MRGN MULT STK

Additional Remarks: Non-disclosed account automatically created

## ACCOUNT CAPABILITIES

Approved Capabilities	[MRGN, MULT, STK]
Activated Capabilities	[STK, MRGN]

## ACCOUNT UPGRADE DECISIONS

No Upgrade Decisions yet

## ADDITIONAL APPLICANT/REGISTRATION INFORMATION

## APPLICANT INFO

Applicant ID: 2179095	Primary Phone: 85366435744
Country of Legal residence: Macao Special Administrative Region of China	Secondary Telephone: 85366601729
Applicant Citizenship: Macao Special Administrative Region of China	
Market Data Status: Professional	Mailing Address: ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA (EDF VISTA LINDA), 12 ANDAR C. TAIPA, MACAU Macao Special Administrative Region of China
	Marketing/Sales Info:
	Whitebranding ID: ustrader2

Change Applicant Information |

## INVESTMENT EXPERIENCE

	Years of Experience	# of Trades per Year	Knowledge Level
Stocks	N/A	N/A	0
Options	N/A	N/A	0
Commodities	N/A	N/A	0
Bond	N/A	N/A	0
FX	N/A	N/A	0
CFD	N/A	N/A	0

## FINANCIAL INFORMATION

11:06 AM

Summary Information for SOU CHENG LAI

https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

FA CFD	N/A N/A	N/A N/A	0 0
-----------	------------	------------	--------

FINANCIAL INFORMATION			
Estimated Net Worth	N/A	Estimated Liquid Net Worth	N/A
Net Income	N/A	Sources of Funds (Other than regular income)	Sources Of Income
Total Assets	N/A	Accredited Investor for Private Placement?	No
		Invited to Private Placement?	No

INVESTMENT OBJECTIVES	
N/A	
<a href="#">Edit Objective</a>	

DISCIPLINARY EVENTS		
QUESTION	ANSWER	NATIVE ANSWER
Has the account holder ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement procedure with another broker or dealer?	N/A	N/A
Has the account holder ever been the subject of an investigation or proceeding by any commodities or securities exchange or regulatory authority or self-regulatory authority?	N/A	N/A

COMPLIANCE/REGULATORY INFORMATION		
<a href="#">Add Broker-Dealer Affiliation</a>		
QUESTION	ANSWER	NATIVE ANSWER
Is the account holder a member of an exchange or an associated person, affiliated person or employee of an exchange member?	N/A	N/A
Is the account holder a member of a regulatory or a self-regulatory organization?	N/A	N/A
Is the account holder: i) a director; ii) a 10% shareholder; or iii) a policy-making officer of any publicly traded company? (Restricted or Controlled Stock)	N/A	N/A
Is exactly a registered financial advisor?	N/A	N/A
Accepted Futures Arbitration Agreement?	No Decision	No Decision
<a href="#">Edit Compliance Information</a> <a href="#">Change Arbitration Agreement</a>		

APPLICANT ASSOCIATED ENTITIES	
<a href="#">Show all</a>	
<b>MS. SOU CHENG LAI (ACCOUNT HOLDER)</b>	
<b>Ms. SOU CHENG LAI</b> Individual (23822440) Ownership	Personal Info Citizenship: Macao Special Administrative Region of China DOB: 1969
	Employer Info Employment Status: RETIRED

4/9/2015 11:06 AM

Summary Information for SOU CHENG LAI

https://ibcust101:6443/CAST/DocumentHandling/searchAccounts

<b>Ms. SOU CHENG LAI</b> <small>Individual (23822440)</small>		<b>Citizenship:</b> Macao Special Administrative Region of China		<b>Employment Status:</b> RETIRED	
<b>Ownership</b> <b>Ownership percentage:</b> 100.0 <b>Detailed Ownership Information</b>		<b>DOB:</b> [REDACTED] 1969			
<b>Contact Info</b> <b>Home Phone:</b> 85366435744 <b>Work Phone:</b> 85366601729 <b>Home (PRIMARY):</b> (853) +85366435744 <b>details</b>		<b>Legal No</b> <b>National ID:</b> [REDACTED] (Macao Special Administrative Region of China)			
<b>Work (ADDITIONAL):</b> (853) +85366601729 <b>details</b>		<b>Addresses</b> <b>Residential Address:</b> ESTRADA DE SETE TANQUES 40, JARDINS DE LISBOA (EDF VISTA LINDA), 12 ANDAR C TAIPA, MACAU Macao Special Administrative Region of China <b>Mailing Address:</b> Same as residential address			
<hr/>					
<a href="#">Change Info</a>	<a href="#">Request Proof of ID and DOB</a>	<a href="#">Request Proof of Address</a>	<a href="#">Request Proof of SSN</a>	<a href="#">Mark as PEP</a>	<a href="#">Ownership History</a>
<hr/>					
<b>Sessions:</b>					
User: Ismael 824 [REDACTED] 2615		Active in TWS: Yes		Token Type: N/A	

Customer Account Supervision System - Interactive Profiles



## CERTIFICATION

Schreiber Translations, Inc.

51 Monroe Street

Suite 101

Rockville, MD 20850

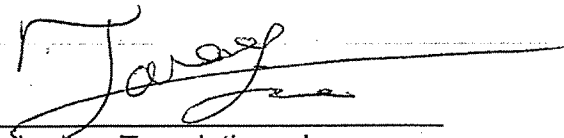
P: 301.424.7737

F: 301.424.2336

This is to certify that the attached English language documents are true and accurate translations of the original Chinese language documents to the best of our knowledge and belief. The documents are identified as below:

"03\_17\_2015 12\_10\_06 EDT (75)", "03\_17\_2015 16\_38\_58 EDT (75)", "03\_18\_2015 11\_48\_14 EDT (125)", "08\_18\_2014 21\_45\_21 EDT\_4 (75)", "08\_18\_2014 21\_45\_21 EDT\_5 (25)", "SEC-HKSFC-E-0000179 (175)", "SEC-HKSFC-E-0000265 (400)", "SEC-HKSFC-E-0000458 (400)", "SEC-HKSFC-E-0000550 (375)"

Executed this 29<sup>th</sup> day  
of June, 2016

  
Schreiber Translations, Inc.  
51 Monroe Street, Suite 101  
Rockville, Maryland 20850  
ATA Member 212207

Schreiber Translations, Inc. uses all available measures to ensure the accuracy of each translation, but shall not be held liable for damages due to error or negligence in translation or transcription.